

Transfer Payment Form

1. Your Personal Details			
Full Name and Title			
Residential Address			
Policy Number			
National Insurance Number		Date of Birth	
Date of leaving service of the employer (if applicable)		Occupation	
Nationality		Country of Residence	
Telephone Number			
Email address (if you are happy for us to contact you by email)			

2. Potential with-profits uplift	
<p>We have announced plans that may affect the future value of your with-profits savings with Equitable. If approved, the Proposal will increase the value of your with-profits policy. I understand that any withdrawals taken from the with-profits investment before then will not benefit from that additional uplift.</p>	
<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>

3. Would you mind sharing your reason for taking your retirement savings now?	
<p>Bringing my savings into one place <input type="checkbox"/></p> <p>I am retiring <input type="checkbox"/></p> <p>I need the funds now <input type="checkbox"/></p>	<p>Advice or guidance from IFA/Pension Wise <input type="checkbox"/></p> <p>I only have a small fund <input type="checkbox"/></p> <p>Other (please specify) <input type="text"/></p>

4. Receiving provider details

Name of the company you are transferring to: _____

You need to contact that company and ask them to send us:

- written confirmation they can accept the transfer;
- their bank account details for payment; and
- a copy of their HMRC registration certificate

Written confirmation enclosed

Written confirmation to follow

4a. Is this a company pension scheme?

NOTE: If the company scheme is a Small Self-Administered Scheme, send a copy of the rules.

Yes - go to 4b

No - go to 5

4b. Are you an employee of the employer who runs the company scheme?

Yes - please send a copy of a payslip or other income confirmation. Go to section 5.

No - go to 4c

4c. Please state your relationship to the employer who runs the company scheme (on the line below):

5. Are you transferring to take your savings:

To set up an Income Drawdown which offers flexible income?

To set up an annuity to provide a regular income?

Other (please specify)? _____

Where a partial transfer is requested (only applicable to Personal Pension Plans)

If your plan is invested in different investment funds; for example, with-profits and unit-linked, the transfer will be taken from all funds proportionately. If you do not want the transfer to be taken this way, please detail below.

Partial fund of £ _____ Specify fund(s) _____

6. Investment Scams

There are certain disreputable organisations who seek to persuade you into moving your money into high risk or bogus investments. These are known as investment scams. Would you know what to look out for if you were approached by one of these organisations?

Yes

No

7. Helping you make your retirement decision

Have you received guidance or advice on your decision?

Yes, via Pension Wise. Go to Section 9

Yes, regulated advice. Your financial adviser should complete Section 8

No. Please complete section opposite

Transferring your retirement savings is an important decision. We **strongly** recommend you seek guidance or advice.

If you now decide to seek guidance or advice, please tick this box, and let us know once you have received it

If you no longer wish to transfer your retirement savings

If you are sure you want to go ahead without guidance or advice, please tick this box, and go to Section 9

8. This section should be completed by your financial adviser

I confirm I have advised my client to transfer their retirement savings with Equitable Life to another company. My client is aware of and understands the risks associated with this decision.

Name and address

Registration number

FCA reference

Signed

Dated

9. Key areas to consider (do not complete this section if you have received regulated advice and section 8 has been completed by your financial adviser)

	Yes	No	N/A
Do you understand the charges levied by the company you are transferring your savings to?	<input type="checkbox"/>	<input type="checkbox"/>	
Do you understand the risks associated with the investment you will be making with the new company?	<input type="checkbox"/>	<input type="checkbox"/>	
Have you shopped around with different companies to establish the best place and product to transfer your retirement savings into?	<input type="checkbox"/>	<input type="checkbox"/>	
If you are transferring your savings to a product that will provide an income, do you understand how long this income will last?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
If your policy includes life assurance cover, do you understand this life cover will cease if you transfer your savings to another company?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Your annual statement or our covering letter show if you have a guarantee under your policy. Do you understand the guarantee will no longer exist if you transfer all your savings to another company?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Depending on the information you provide, we may need to write to you again with additional information relevant to your decision

10. Declaration

Please sign and date below

I declare that:

1. To the best of my knowledge and belief all the statements made in connection with this form are true and complete.
2. I elect for the full payment of the transfer value available under the Policy specified at the beginning of this form ("the Policy") in accordance with the following statements.
3. The instructions given in this form shall be irrevocable.
4. I agree that once payment is made, the Equitable Life will have no remaining payment obligations under the policy. However, that won't stop me bringing any other claims against the Equitable Life.
5. For the avoidance of doubt, this release shall not act so as to prohibit me from bringing any claim for any act or omission by you.
6. I consent to Equitable Life providing information to HM Revenue and Customs to allow them to comply with their obligations. I also consent to Equitable Life providing information to any other pension providers I may have, as is required by annual allowance reporting requirements.
7. I agree to indemnify the Equitable Life for any claims or losses, including third party claims or losses, resulting from the Equitable Life following these payment instructions.
8. I have read Section 2 of this form in respect of with-profits, and nevertheless wish to proceed with this payment.

Print name: _____

Signature: _____ Date _____

Kindly post the form to us - we cannot accept emailed payment forms