

Pre 6 April 2006 HM Revenue and Customs (HMRC) limits

A. Client details											
Employer/Scheme name											
Employee/Member name											
Policy number/ Scheme number			Member number (if applicable)								
National Insurance Number											
Date of leaving service (if before 5 April 2006)						Date of joining service					
Was the employee/member a *Controlling Director at any time in the 10 years prior to the earlier of the date of leaving service and 5 April 2006?						Yes <input type="checkbox"/>		No <input type="checkbox"/>			

*A Controlling Director is a director who owns or controls 20% or more of the voting capital of a company, either directly or indirectly. This 20% includes shares held by the director's family & associates.

B. Salary details											
<p>The employee's/member's total earnings for the best three or more consecutive complete years between 6 April 1996 and 5 April 2006.</p> <p>Exclude any earnings after 6 April 1987 if either of the following applies:</p> <ol style="list-style-type: none"> Anything on which tax is chargeable which arises from <ul style="list-style-type: none"> the acquisition or disposal of shares an interest in shares a right to acquire shares, except where these were acquired before 17 March 1987 Any part of a golden handshake 											
<i>12 month period ending on or before 5 April 2006</i>						<i>Basic Salary £</i>		<i>Bonus, overtime, taxable value of benefits in kind, etc.</i>			
D	D	M	M	Y	Y						
D	D	M	M	Y	Y						
D	D	M	M	Y	Y						

Continue on separate sheet if required.

D. Declaration by the employee/member

I declare that:

1. To the best of my knowledge and belief all the statements made in connection with this form are true and complete.
2. If I become aware of any alteration to the information given on the form subsequently, I hereby agree to notify you of any such alterations immediately.
3. If any tax becomes payable because the information I have provided above is proven to be incorrect then I understand that I will be wholly and personally liable for the tax charge due and any resultant penalty as may be imposed by HMRC.

Print name _____

Signature _____ Date _____

E. Declaration by the employer/pension scheme administrator/trustee

I/we declare that:

1. To the best of my/our knowledge and belief all the statements made in connection with this form are true and complete.
2. If I/we become aware of any alteration to the information given on the form subsequently, I/we hereby agree to notify you of any such alterations immediately.
3. If any tax becomes payable because the information I/we have provided above is proven to be incorrect then I/we understand that I/we will be wholly and personally liable for the tax charge due and any resultant penalty as may be imposed by HMRC.

Print Name _____

Signature _____

Position in company _____ Date _____

The signatory must be authorised to sign on behalf of the employer/pension scheme.